

# ***Heritability of diseases***

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# *Aim of this lecture*

In this lecture, we will show why these statements are consistent :

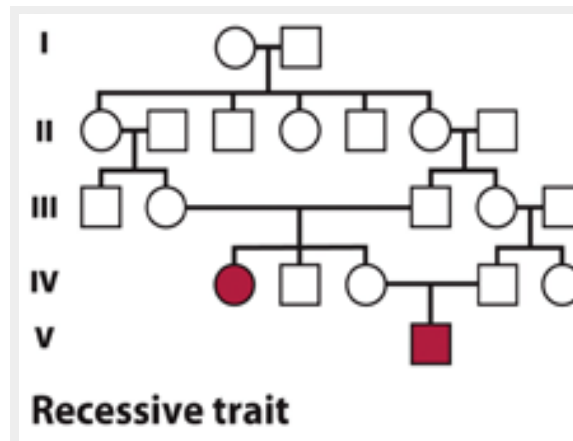
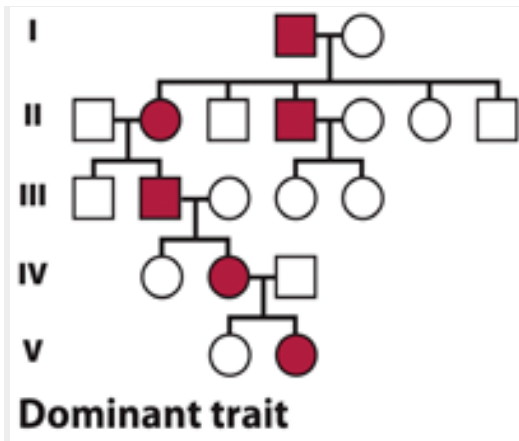
If a disease affects 1% of the population and has heritability 80% then

If an individual is affected ~8% of his/her siblings affected

If an MZ twin is affected ~50% of their co-twins are affected

***How do we know that there is a genetic contribution to disease?***

# ***Disease traits: Some disease traits have a clear pattern of inheritance***



There are thousands of single gene disorders (OMIM database Online Mendelian Inheritance in Man) but each is very rare

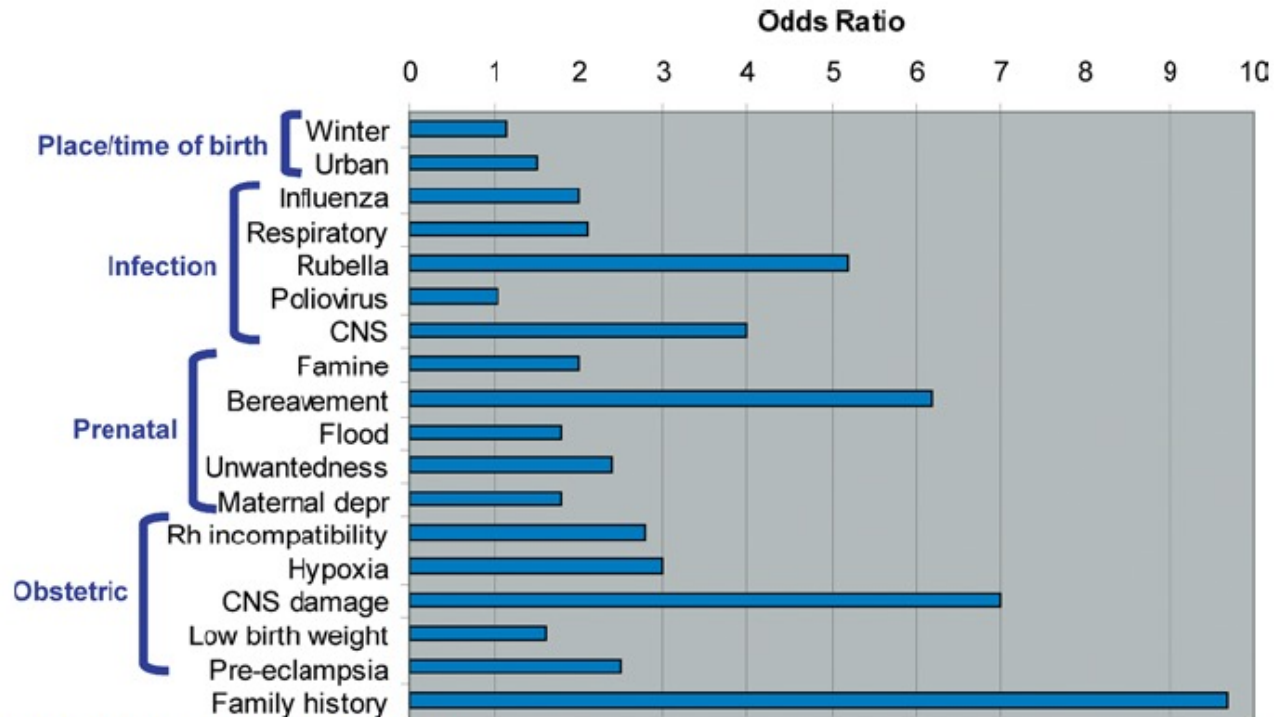
Most common diseases do not have a clear pattern of inheritance yet there is increased risk associated with family history

# Complex genetic diseases

- Unlike Mendelian disorders, there is no clear pattern of inheritance
- Tend to “run” in families
- Few large pedigrees of multiply affected individuals
- Most people have no known family history
- Common diseases  $> 0.5\%$  are complex genetic diseases



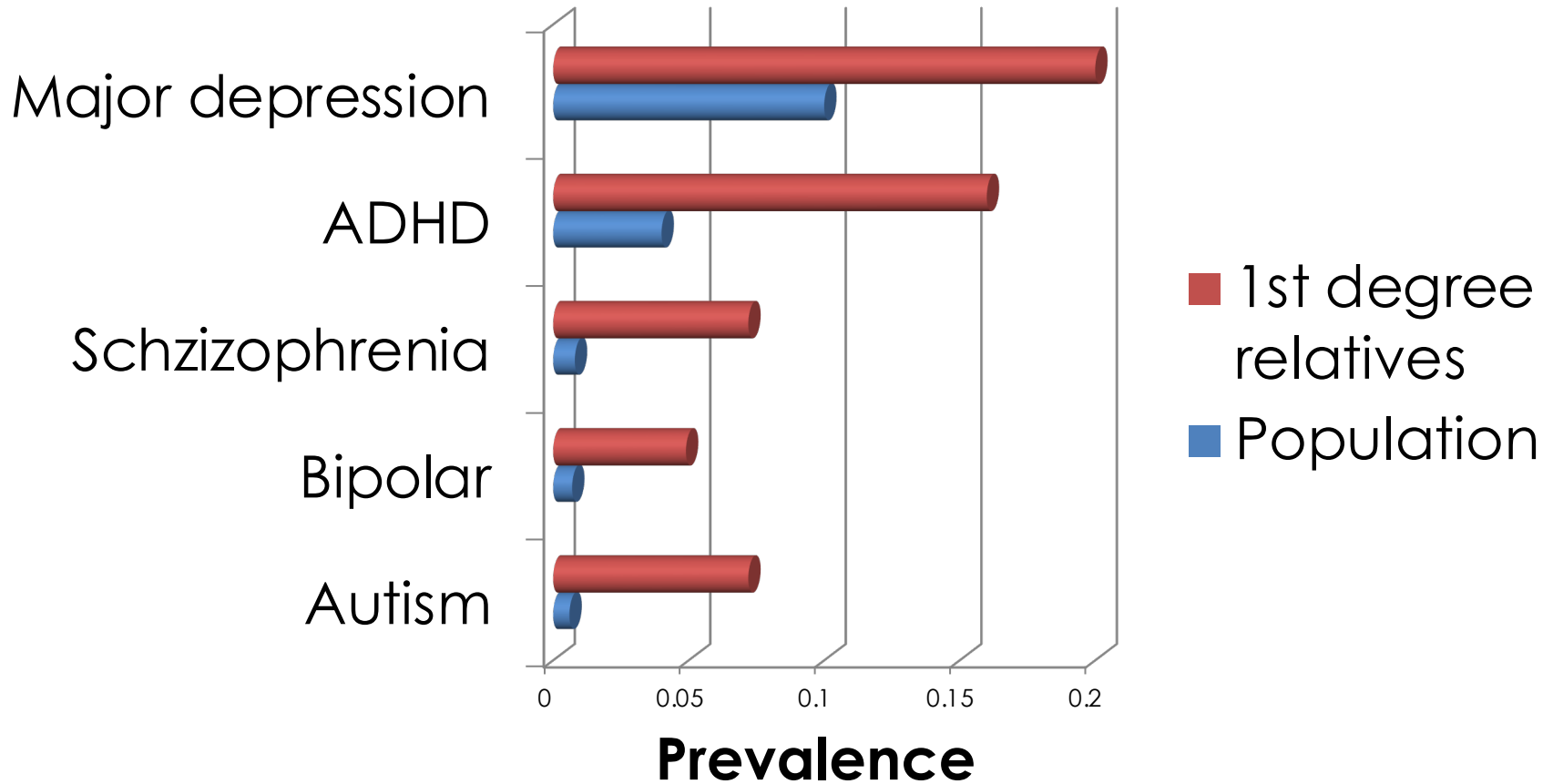
# Risk Factors for Schizophrenia



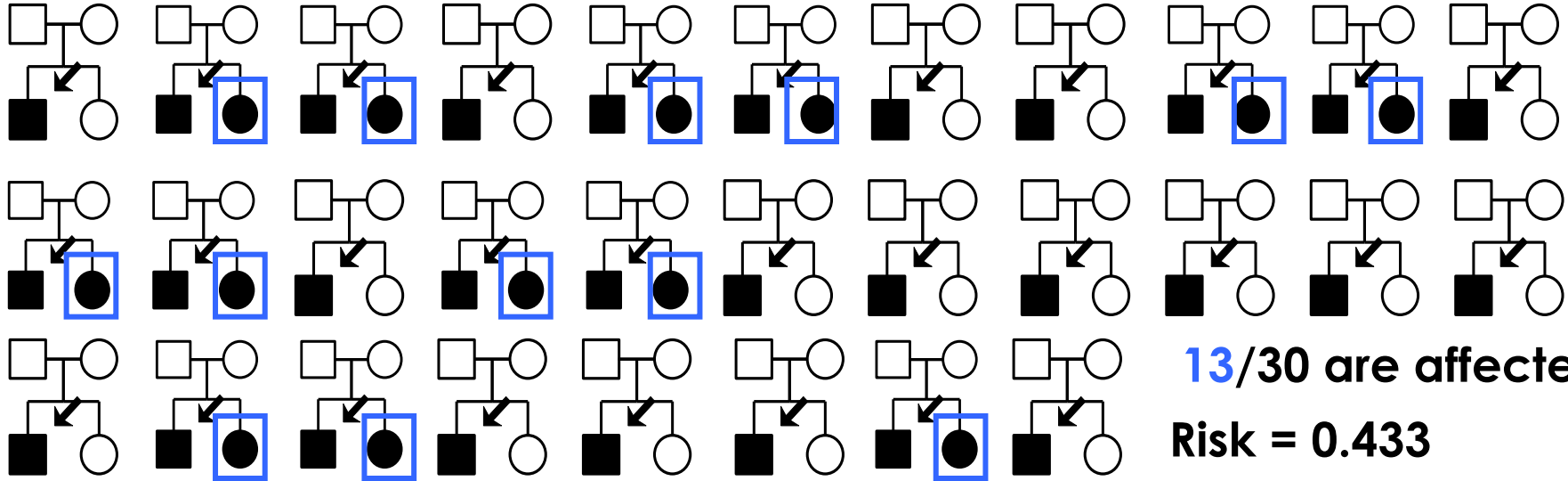
DOI: 10.1371/journal.pmed.0020212.g001

**Figure 1.** Comparison of a Selected Set of Relatively Well-Established Risk Factors for Schizophrenia, Focusing Mainly on Pre- and Antenatal Factors [6] (abbreviations: CNS, central nervous system; depr, depression; Rh, Rhesus)

# Evidence for a genetic contribution comes from risks to relatives

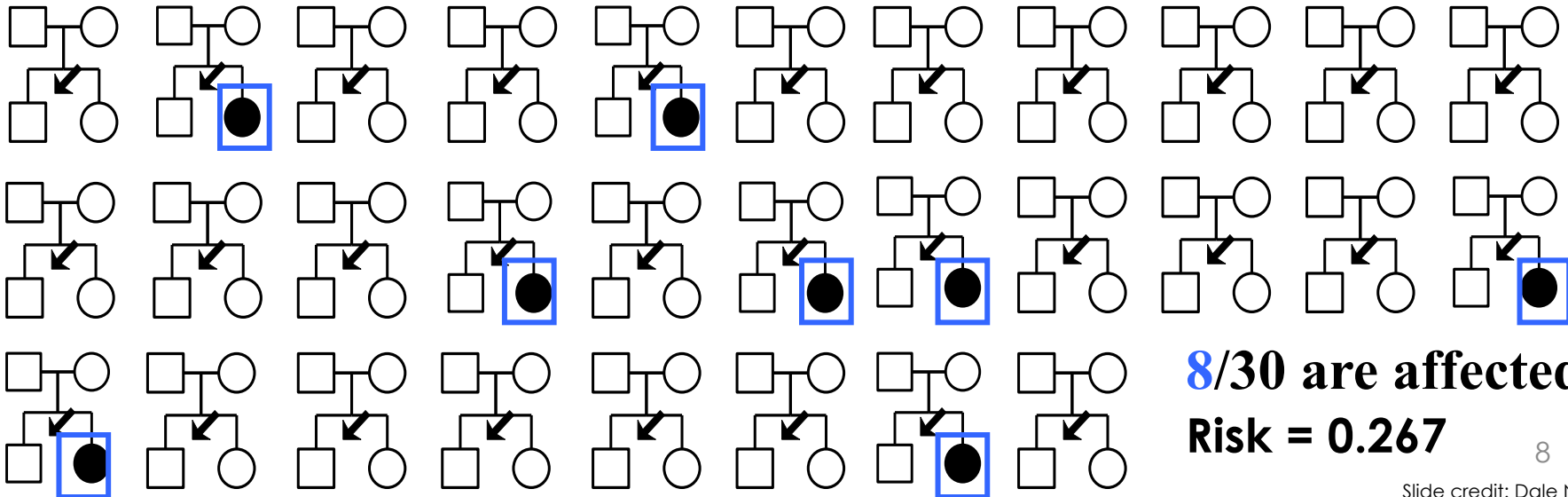
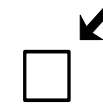


## Affected Probands



**13/30 are affected;**  
**Risk = 0.433**

## Unaffected Probands



**Relative Risk (RR) = 0.433 / 0.267 = 1.63**  
**In siblings of affected compared to unaffected probands**

**8/30 are affected;**  
**Risk = 0.267**



# **Relative risk to relatives**

## **Recurrence risk to relatives**

How much more likely are you to be diseased if your relative is affected compared to a person selected randomly from the population?

$$\text{Relative risk to relatives } (\lambda_R) = \frac{p(\text{affected} \mid \text{relative affected})}{p(\text{affected in population})} = \frac{K_R}{K}$$

How to estimate  $p(\text{affected} \mid \text{relative affected})$  ?

- Collect population samples – cases infrequent
- Collect samples of case families and assess family members

How to estimate  $p(\text{affected in population})$  ?

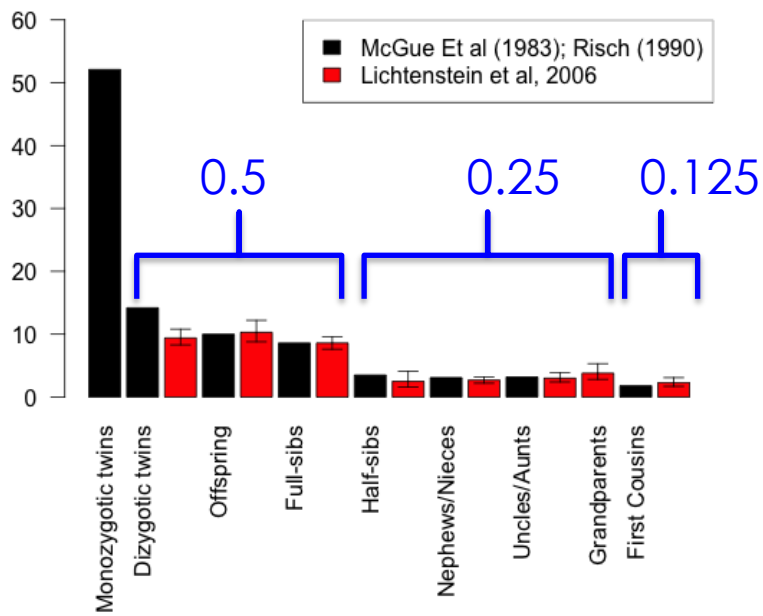
- Census or national health statistics
  - Is definition of affected same in population sample as family sample
- Collect control families and assess family members

If disease is not common

$$\lambda_R = \frac{p(\text{sibling affected} \mid \text{case family})}{p(\text{sibling affected} \mid \text{control family})}$$

# Schizophrenia risks to relatives

Relatives	Coefficient of relationship	Risch McGue et al	Lichtenstein et al	
			Estimate	95% CI
<b>Monozygotic twins</b>	1	<b>52.1</b>		
<b>Dizygotic twins</b>	1/2	<b>14.2</b>		
<b>Parent</b>	1/2		<b>9.4</b>	<b>8.3 - 10.8</b>
<b>Offspring</b>	1/2	<b>10.0</b>	<b>10.3</b>	<b>8.8 - 12.2</b>
<b>Full-sibs</b>	1/2	<b>8.6</b>	<b>8.6</b>	<b>7.6 - 9.6</b>
<b>Half-sibs</b>	1/4	<b>3.5</b>	<b>2.5</b>	<b>1.6 - 4.1</b>
<b>Nephews/Nieces</b>	1/4	<b>3.1</b>	<b>2.7</b>	<b>2.2 - 3.2</b>
<b>Uncles/Aunts</b>	1/4	<b>3.2</b>	<b>3.0</b>	<b>2.4 - 3.9</b>
<b>Grandparents</b>	1/4		<b>3.8</b>	<b>2.8 - 5.3</b>
<b>First Cousins</b>	1/8	<b>1.8</b>	<b>2.3</b>	<b>1.7 - 3.1</b>
<b>Offspring of 2 affected parents</b>	1/2 but ascertained		<b>89</b>	<b>19 - 672</b>



Baseline risk, K = 0.85% McGue et al  
 = 0.407% Lichtenstein et al

coefficient of relationship

Risch(1990) Linkage Strategies for Genetically Complex Traits AJHG  
 McGue et al (1983) Genetic Epidemiology 2: 99  
 Lichtenstein et al (2006) Recurrence risks for schizophrenia in a Swedish National Cohort. Psychological Medicine

***For which disease is the genetic contribution more important?***

Lifetime Risk 1%

Relative risk to 1<sup>st</sup> degree relatives: 10

Absolute Risk to 1<sup>st</sup> degree relatives 10%

Vs

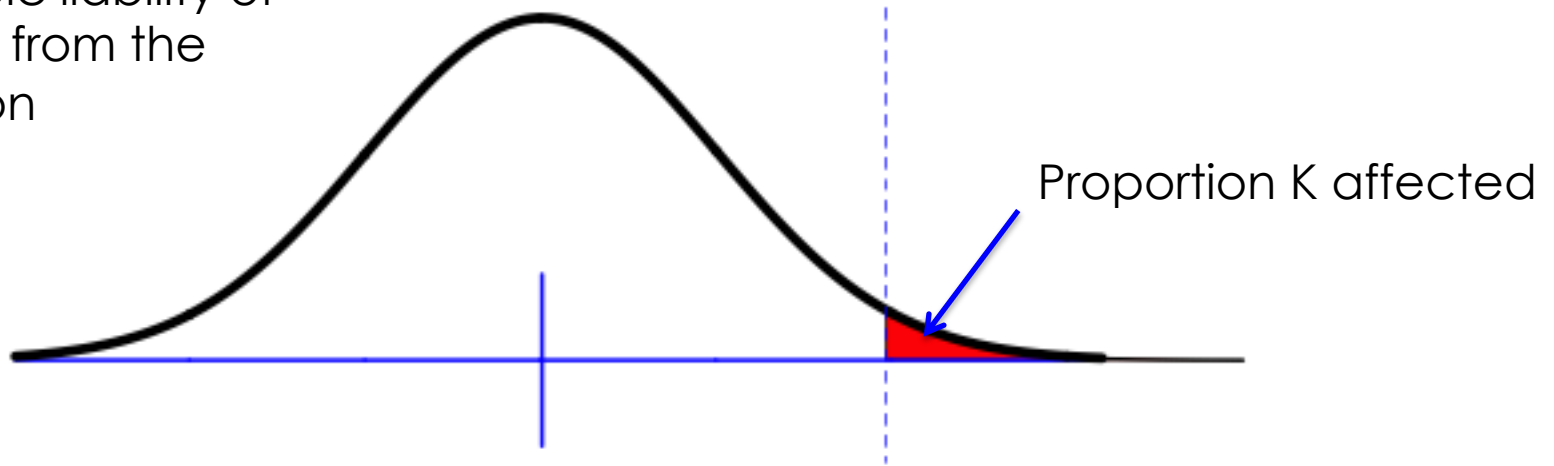
Lifetime Risk 15%

Relative risk to 1<sup>st</sup> degree relatives: 2

Absolute Risk to 1<sup>st</sup> degree relatives 30%

# *Liability threshold model*

Phenotypic liability of  
a sample from the  
population



Assumption of normality

- Only appropriate for multifactorial disease
- i.e. more than a few genes but doesn't have to be highly polygenic
- Key – unimodal

# Does an underlying normality assumption make sense?

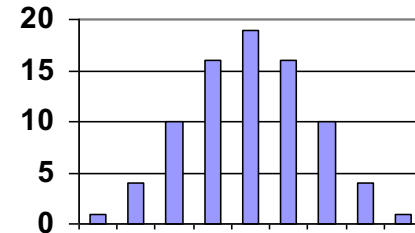
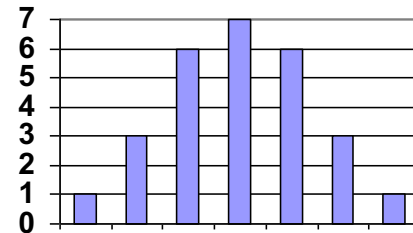
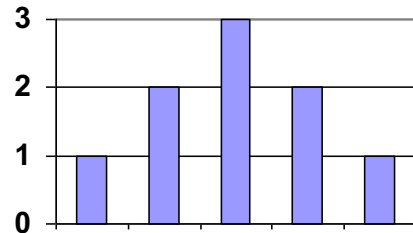
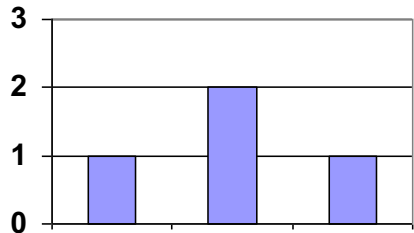
Assumes approximately normal distribution of liability  
Makes sense for many genetic variants and environmental/noise factors

**1 Locus**  
→ 3 Genotypes  
→ 3 Classes

**2 Locus**  
→ 9 Genotypes  
→ 5 Classes

**3 Locus**  
→ 27 Genotypes  
→ 7 Classes

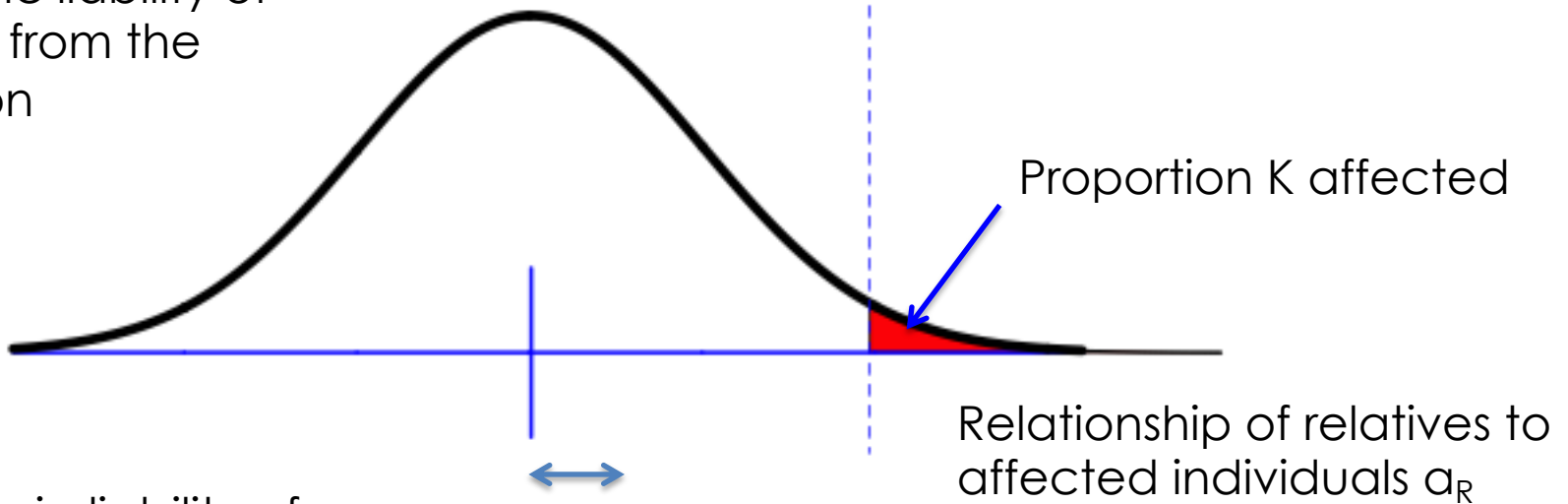
**4 Locus**  
→ 81 Genotypes  
→ 9 Classes



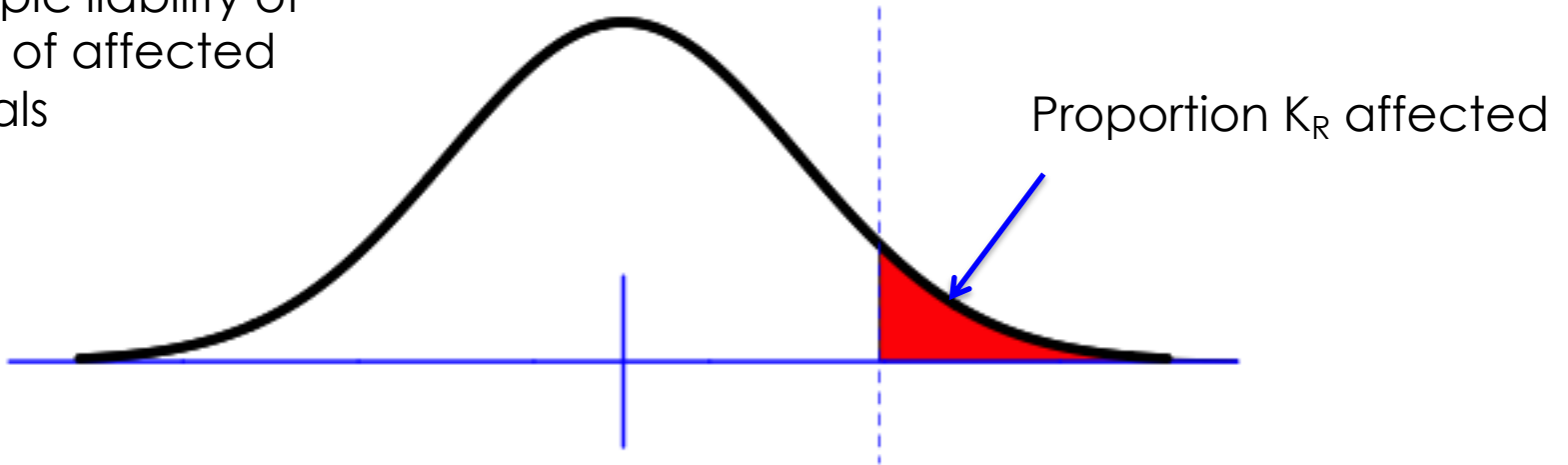
Each Locus has alleles R and r, R = risk alleles.  
Each class has a different count of number of risk alleles

# Falconer (1965)

Phenotypic liability of a sample from the population

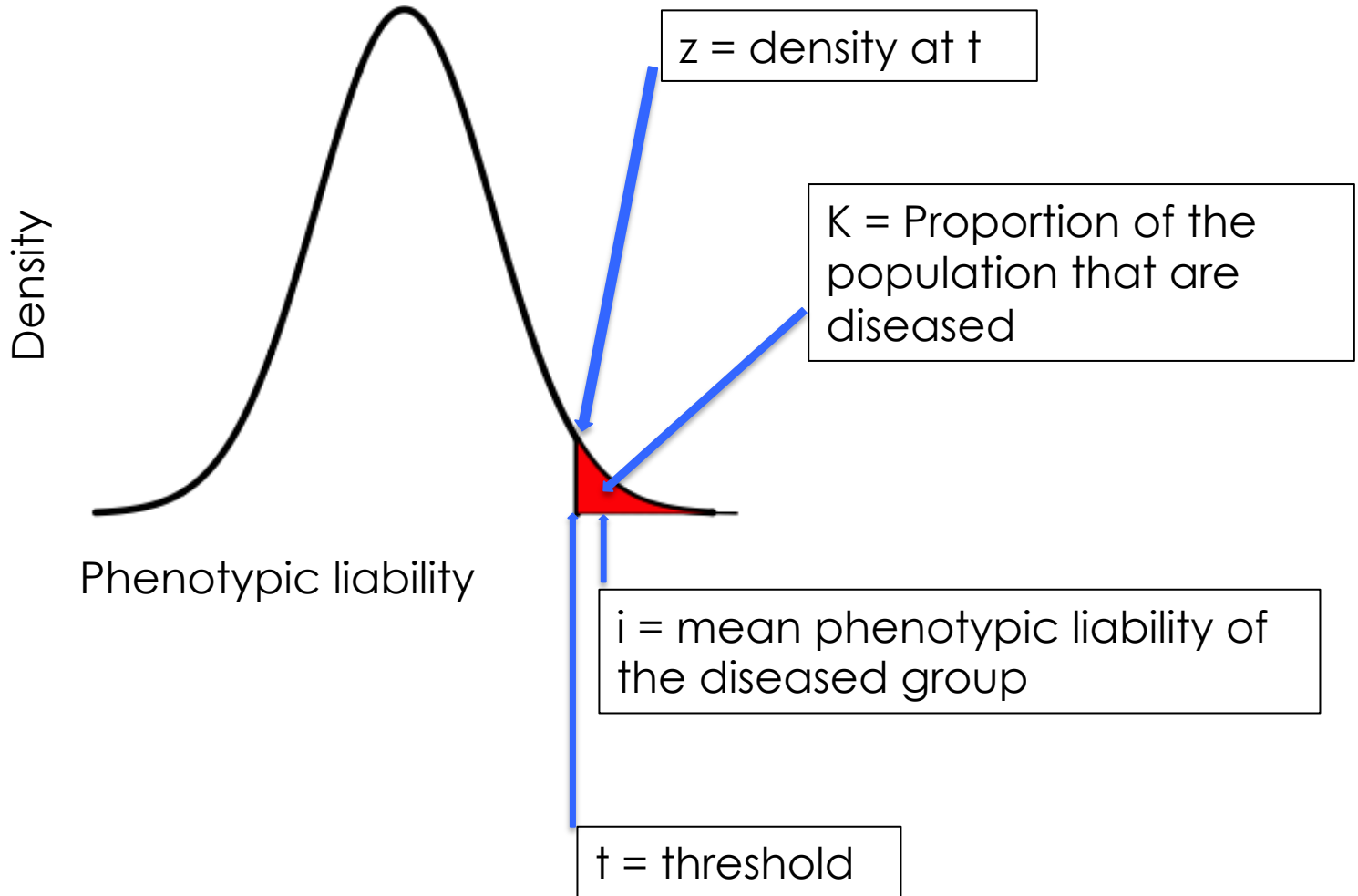


Phenotypic liability of relatives of affected individuals



Using normal distribution theory what percentage of the variance in liability is attributable to genetic factors given  $K$ ,  $K_R$  and  $a_R$

# Definitions



# Liability Threshold Model

## -truncated normal distribution theory

$\Phi(x)$  = cumulative density until liability  $x$

standard normal distribution function

$\phi(x)$  = probability density at  $x$

Phi

$z$  = density at  $t$

$$z = \phi(t) = \frac{1}{\sqrt{2\pi}} e^{-\frac{1}{2}t^2} = \text{dnorm}(t)$$

$K$  = Proportion of the population that are diseased

$$K = 1 - \Phi(t) = 1 - \text{pnorm}(t)$$

$i$  = mean phenotypic liability of the diseased group

$$i = z/K \text{ "selection intensity"}$$

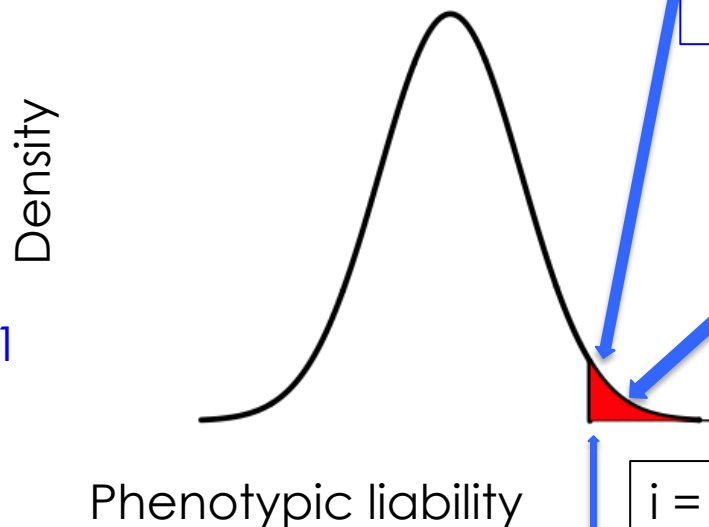
$t$  = threshold

$$t = \Phi^{-1}(1-K) = \text{qnorm}(1-K)$$

Variance in liability amongst the diseased individuals

$$= \sigma_p^2 (1-k), \text{ where } k = i(i-t)$$

Inverse standard normal distribution (probit) function



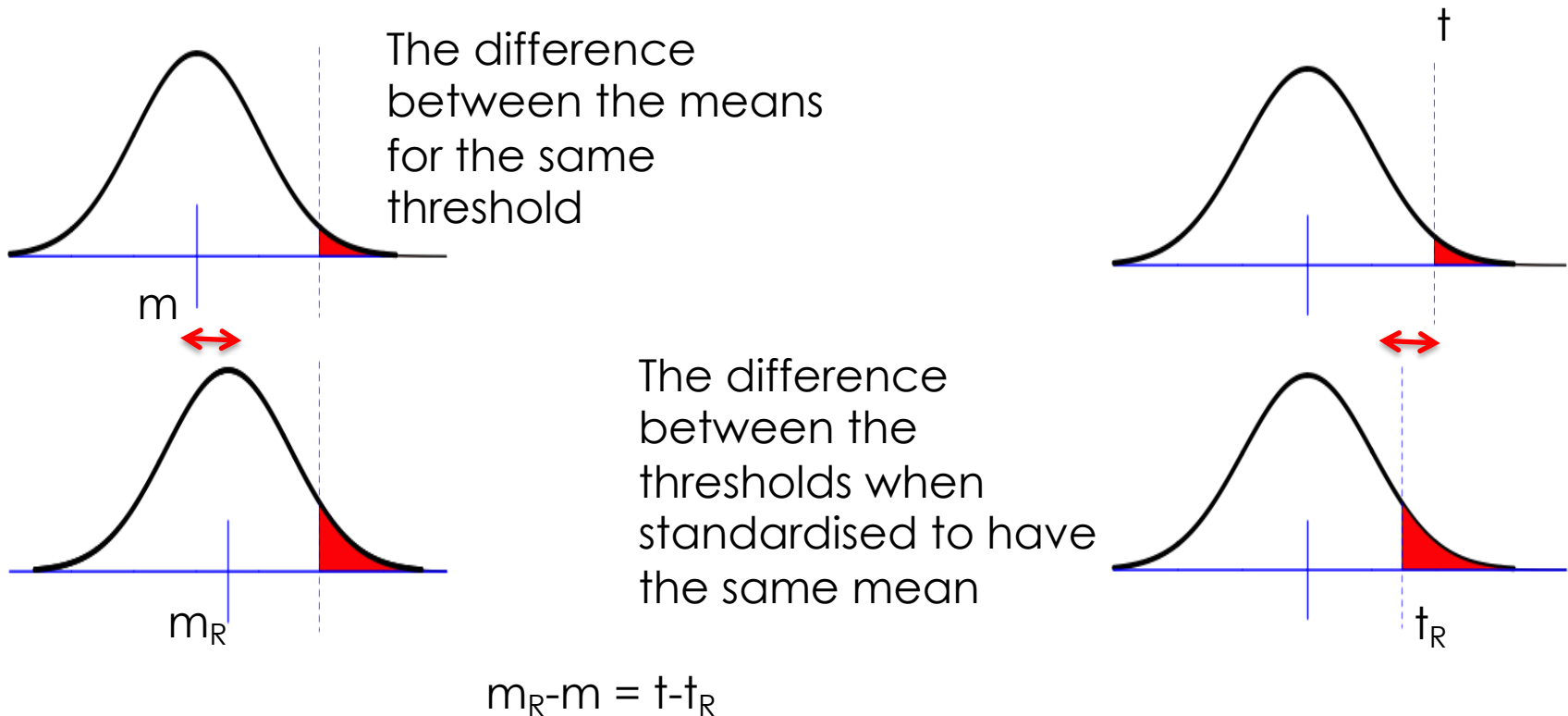
Standard Deviation = 1

$$\sigma_p = 1$$

Phenotypic liability



# Falconer (1965)

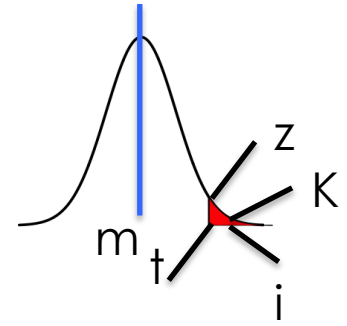


Given the difference in thresholds, and given known additive genetic relationship between relatives, what proportion of the total variance must be due to genetic factors

Falconer (1965) The inheritance of liability to certain diseases, estimated from incidences in relatives, Ann. Hum Genet. 29 51

Crittenden (1961) an interpretation of familial aggregation based on multiple genetic and environmental factors 17 Ann NY Acad Sci 91 769

# Calculate heritability of liability using regression theory



$Y$  = phenotypic liability for individuals

$Y_R$  = phenotypic liability for relatives of  $Y$

$$Y_R = a_R h^2 Y + \epsilon$$

For affected individuals  $Y = i$

Expected phenotypic liability of relatives of those affected

$$E(Y_R | Y > t) = m_R = m_R - m = t - t_R$$

*Substitute*

$$t - t_R = a_R h^2 i$$

*Rearrange*

$$h^2 = (t - t_R) / i a_R$$

Falconer (1965) The inheritance of liability to certain diseases, estimated from incidences in relatives, Ann. Hum Genet. 29 51

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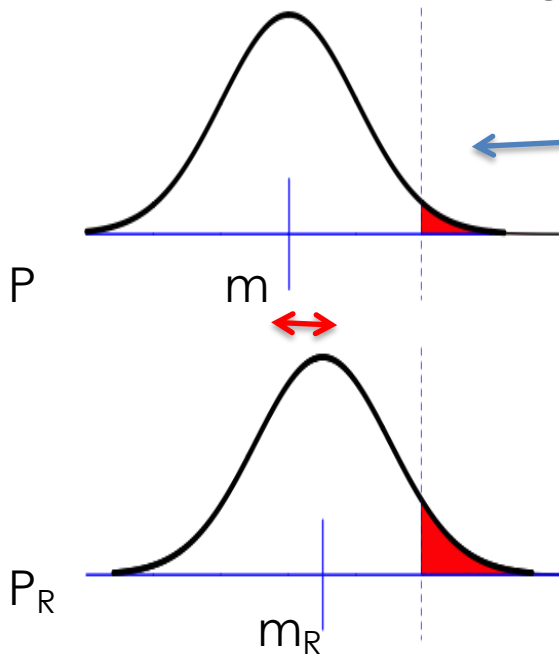
# ***Assumptions made by Falconer (1965)***

**Assumption:** Covariance between relatives reflects only shared additive genetic effects

**Check:** Use different types of relatives with different  $a_R$  and different  $u_R$  (dominance coefficient) and different shared environment to see consistency of estimates of  $h^2$

**Assumption:** Phenotypic variance in relatives is unaffected by ascertainment on affected probands

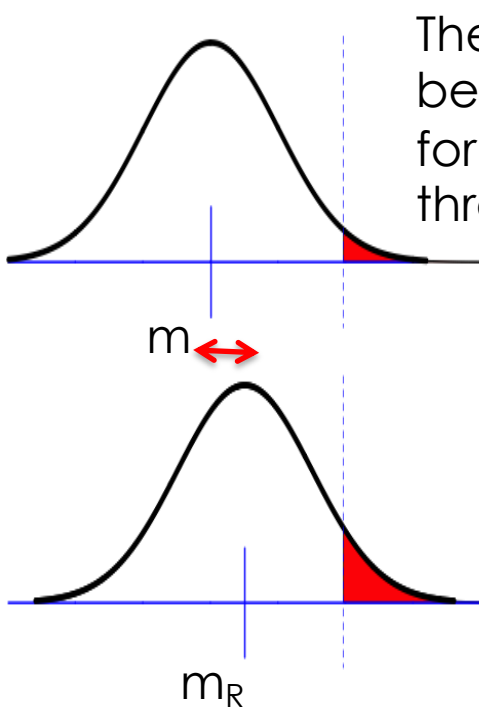
# Accounting for reduction in variance in relatives as a result of ascertainment on affected individuals



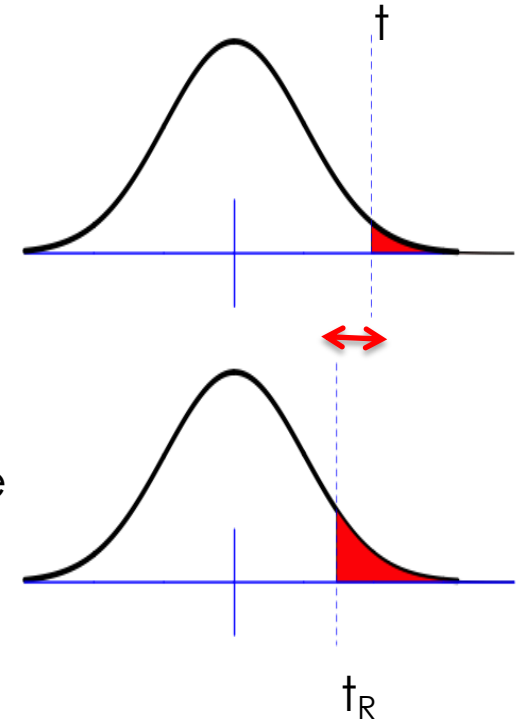
Variance in liability amongst the diseased individuals  
 $= \sigma_p^2 (1-k)$ , where  $k = i(i-t)$

Variance in liability amongst relatives of diseased individuals  
 $V(Y_R | Y > t) = V(Y_R) - k \text{Cov}(Y_R, Y)^2$   
 $= 1 - k(a_R h^2)^2 = 1 - k a_R^2 h^4$

# Reich et al: heritability of liability



The difference between the thresholds when standardised to have the mean 0 and variance 1



$$m_R - m = t - t_R \sqrt{1 - ka_R^2 h^4}$$

# Reich et al: heritability of liability

$Y$  = phenotypic liability for individuals

†

$Y_R$  = phenotypic liability for relatives of those with  $Y$

$$Y_R = a_R h^2 Y + \varepsilon$$

For affected individuals  $Y = i$

Expected phenotypic liability of relatives of those affected

$$E(Y_R | Y > t) = m_R - m = t - t_R \sqrt{1 - k a_R^2 h^4}$$

Substitute  $t - t_R \sqrt{1 - k a_R^2 h^4} = a_R h^2 i$

Rearrange 
$$h^2 = \frac{t - t_R \sqrt{1 - (1 - t/i)(t^2 - t_R^2)}}{a_R (i + (i - t)t_R^2)}$$

Also useful – calculation of  $t_R$  when  $K$  and  $h^2$  are known

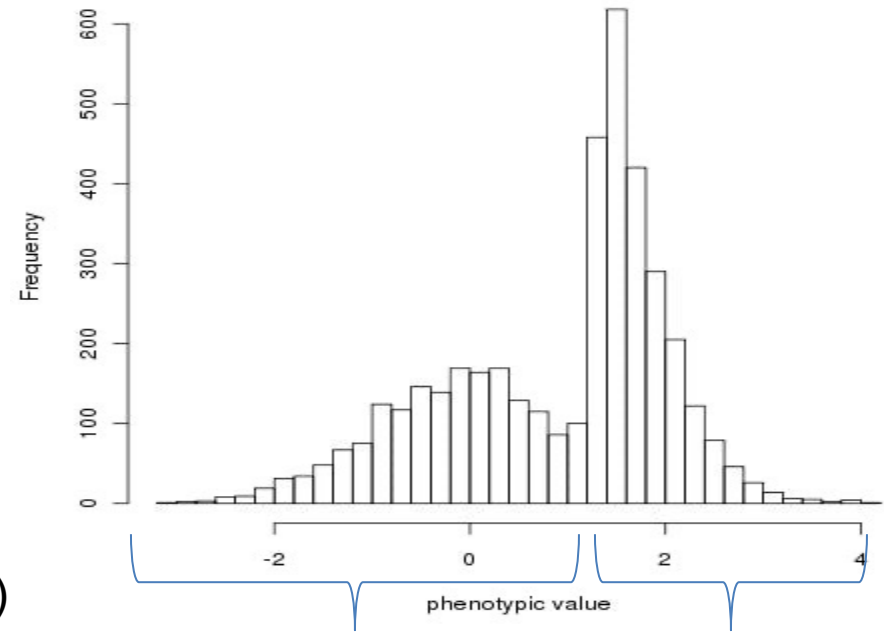
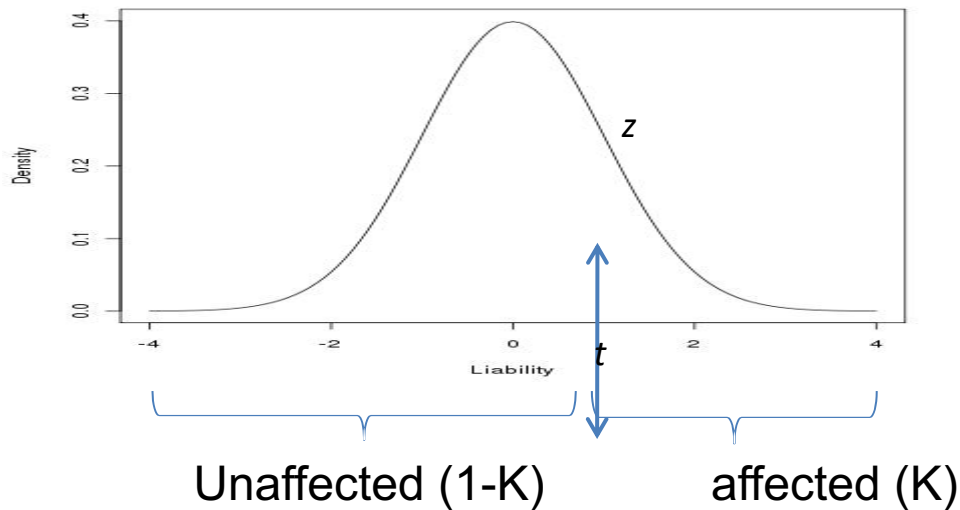
$$t_R = \frac{t - a_R i h^2}{\sqrt{1 - a_R^2 h^4 k}}$$

# GREML: $h^2$ -SNP for disease

- Observations are on disease scale but heritability is most interpretable on the liability scale
- Case-control samples are ascertained
- Differences between case and control samples may reflect artefacts
- Use linear regression
- Estimate on observed scale
- Transform to Liability scale via Robertson Transformation
- Up date transformation
- Very stringent QC

# Ascertainment in case-control studies

$\widehat{h^2}_{occ}$  · Estimate of proportion of variance explained  
 · by SNP between cases and controls



$$h_l^2 = h_o^2 \frac{K(1-K)}{z^2}$$

$$h_l^2 = \widehat{h^2}_{occ} \frac{K(1-K)}{z^2} \frac{K(1-K)}{P(1-P)}$$

Robertson (1950)  
 Appendix of Dempster and Lerner (1950)  
 See Lecture 1

Lee et al (2011)AJHG  
 Zhou & Stephens (2013) Polygenic Modeling with Bayesian Sparse Linear Mixed Models PLoSG Text S3  
 Golan et al (2014) Measuring missing heritability: Inferring the contribution of common variants PNAS



# *Summary*

If a disease affects 1% of the population and has heritability 80%

We have shown why these statements are consistent :

If an individual is affected ~8% of his/her siblings affected

If an MZ twin is affected ~50% of their co-twins are affected